

FORM PTO-1390
(REV 10-94)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

11669.0162USWO

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5)

Filed Here With **10/549241**

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PCT/US2004/007622

March 12, 2004

March 12, 2003

TITLE OF INVENTION

COMPOSITIONS WITH HEMATOPOIETIC AND IMMUNE ACTIVITY

APPLICANT(S) FOR DO/EO/US


FERRARA et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98, Form 1449, 5 References.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: Application Data Sheet - 3 pages, 29 Sheets of Formal Drawings, International Publication WO 2004/081229 A2 with attached copy of Sequence Listing, Computer Readable form of Sequence Listing, Form PCT/ISA/210, Form PCT/ISA/220, Form PCT/ISA/237, Form PCT/RO/101, Form PCT/IB/304, Form PCT/IB/308

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)		INTERNATIONAL APPLICATION NO.		JCI7 Rec 8 PCT/PTO 12 SEP 2005 11669.0162USWO	
Filed Herewith 10/549241		PCT/US2004/007622			
BASIC NATIONAL FEE (37 CFR 1.492(a) (1)-(5)):					
[X] a) Basic National fee.....				\$300.00	\$300.00
[X] b) Examination fee.....				\$200.00	\$200.00
[X] c) Search fee.....				\$500.00	\$500.00
TOTAL OF ABOVE CALCULATIONS =				\$1000.00	
X Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)		Rate	
150	-100	50	/50 =	X \$250.00	\$250.00
Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	51	-20 = 31	X \$50.00	\$1550.00	
Independent claims	5	-3 = 2	X \$200.00	\$400.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$0.00	
TOTAL OF ABOVE CALCULATIONS =				\$2200.00	
Reduction by 1/2 for filing by small entity, if applicable. Small entity status is claimed pursuant to 37 CFR 1.27				\$0.00	
SUBTOTAL =				\$3200.00	
Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+ \$0.00	
TOTAL NATIONAL FEE =				\$3200.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+ \$0.00	
TOTAL FEES ENCLOSED =				\$3200.00	
				Amount to be: refunded	\$0.00
				charged	\$0.00
a. [] Check(s) in the amount of \$0.00 to cover the above fees is enclosed. b. [] Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. [X] The Commissioner is hereby authorized to charge \$3200.00 to Deposit Account No. <u>13-272</u> , as well as any additional fees which may be required, or credit any overpayment..					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: Eric E. DeMaster MERCHANT & GOULD P.O. Box 2903 Minneapolis, MN 55402-0903			SIGNATURE:  NAME: Eric E. DeMaster REGISTRATION NUMBER: 55,107		

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: FERRARA et al.

Docket: 11669.0162USWO

Title: COMPOSITIONS WITH HEMATOPOIETIC AND IMMUNE ACTIVITY

10/549241

JC17 Rec'd PCT/PTO 12 SEP 2005

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV 495843645 US

Date of Deposit: September 12, 2005

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450.

By: 

Name: David Ortiz

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

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PATENT TRADEMARK OFFICE

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.10.
- ☒ National Stage PCT Patent Application: Spec. 113 pgs; 51 claims; Abstract 1 pgs.:
- ☒ 29 sheets of formal drawings
- ☒ An unsigned Combined Declaration and Power of Attorney
- ☒ Information Disclosure Statement, Form 1449, 5 reference(s).
- ☒ Application Data Sheet, 3 pages.
- ☒ Other: Preliminary Amendment, International Publication WO 2004/081229 A2 with attached copy of Sequence Listing, Computer Readable form of Sequence Listing, Form PCT/ISA/210, Form PCT/ISA/220, Form PCT/ISA/237, Form PCT/RO/101, Form PCT/IB/304, Form PCT/IB/308
- ☒ Return postcard
- ☒ **PLEASE CHARGE \$3200.00 TO DEPOSIT ACCOUNT NO. 13-2725 FOR PAYMENT OF THE FILING FEE.**

CLAIMS AS FILED

Number of Claims Filed	No.	In Excess of		Extra		Rate		Fee
Total Claims	51	20		31		50.00	=	1550.00
Independent Claims	5	3		2		200.00	=	400.00
Multiple Dependent Claims Fee							=	0.00
Basic Filing Fee							=	300.00
Search Fee							=	500.00
Examination Fee							=	200.00
Utility Application Size Fee	150	100		50		250.00	=	250.00
Total							=	3200.00

Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

Merchant & Gould P.C.
P.O. Box 2903 Minneapolis, MN 55402-0903
612.332.5300

By: 

Name: Eric E. DeMaster

Reg. No.: 55,107

Initials: EED/mkc